PTO/SB/17 (12-04)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.				Complete if Known				
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Number 10/037415				
FEE TRANSMITTAL				Filing Date January 4, 200				
For FY 2005				irst Named Inv		David Baltimore		
				Examiner Name Not Yet Assig			ned	
A Applicant claims small strain, status, statu			_	Art Unit 1646				
TOTAL AMOUNT OF PAYN	A	Attorney Docket No. APBI-P04-035						
METHOD OF PAYMENT (check all that apply)								
Check Credit Card Money Order Other (please identify):								
x Deposit Account Deposit Account Number: 18-1945 Deposit Account Name: Ropes & Gray LLP								
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)								
x Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee								
Charge any additional fee(s) or any underpayment of Fee(s) under 37 CFR 1.16 and 1.17								
FEE CALCULATION								
1. BASIC FILING, SEARCH,								
	FILING FEES SEARCH FEES EXAMINATION FEES							
Application Type	Fee (\$)	mall Entity Fee (\$) Fe	ee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees P	aid (\$)
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FEES Small Entity								
Fee (\$) Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent  50 25								
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100								
Multiple dependent claims 360 180								
Total Claims		Fee Pai	aid (\$) Multiple Depend			nt Claims		
20 = x =					<u>Fe</u>	e (\$) <u>F</u>	ee Paid (\$	)
Indep. Claims Extra C	laime E	no (\$) =	Fee Pai	d (\$)	<del></del>			_
-3=	x X		ee rai	u (₽)				
3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)								
for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  - 100 = /50 (round up to a whole number) x =								
4. OTHER FEE(S)  Fees Paid (\$)								
Non-English Specification, \$130 fee (no small entity discount)								
Other: 1464 Petitions to the Director not specifically provided for (Group III) 130.00								0.00
SUBMITTED BY								
Signature \	12			egistration No.	54,408	Telephone	(617) 95	1-7653
Name (Print/Type) Melissa S. Rones, Ph.D.							December	
December 23, 2004								

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissione for Patents, §.O. Box 1450, Alexandria, VA 22313-1450, on the date						
shown below.	V 0 / 1					
Dated: 12 0 Sig	nature: XX	(Ginny Blundell)				



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.upplo.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/037,415 01/04/2002 David Baltimore

APBI-P04-035

Page 1 of

28120 ROPES & GRAY LLP ONE INTERNATIONAL PLACE BOSTON, MA 02110-2624

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CONFIRMATION NO. 7747 FORMALITIES LETTER \*OC000000014194141\*

Date Mailed: 10/26/2004

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

• Page(s) 85 of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS <u>TWO MONTH</u> PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the

references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Expresss Mail No. EL 934 408 938 US

Atty Docket: APBI-P04-035

Title: Nuclear Factors Associated with Transcriptional Regulation

Inventors: Baltimore, et al. Filed: January 4, 2002 Customer No. 28120 Ropes & Gray Intellectual Property Dept.

JAN 2 2 2002

The Patent Office hereby acknowledges receipt of the following documents:

Utility Patent Application, including: Cover Sheet (1 pg), Fee Transmittal Form (1 pg), 207 pgs of Specification, 60 sheets of drawings (figures 1A-43), copy of executed Declaration (65 pgs), Preliminary Amendment (2 pgs), Transmittal of Formal Drawings (1 pg), 58 Sheets of Formal Drawings (figures 1A-43), 2 Revocation of Prior Powers of Attorney (1 pg each), Certificate of Mailing by "Express Mail" (1 pg), and this postpaid return receipt postcard.

MPV:bsl

January 4, 2002

10/037415 10/04/02

Expresss Mail No. EL 934 408 938 US

Atty Docket: APBI-P04-035

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January 4, 2002